09655929 - GAU: 3692

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 09/655,929 Filing Date 9/6/2000 First Named Inventor Stephens Art Unit 3628 **Examiner Name** Nga B. Nguyen

(Use as many sheets as necessary)

Attorney Docket Number

Examiner	Cite	Document Number	U. S. PATENT I	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
-		US- 6,317,727	11/13/2001	May	
	<u> </u>	US- 5,077,655	12/31/91	Silverman, et al.	
		US- 5,136, 501	8/4/1992	Silverman, et al.	
		US- 5,375,055	12/20/1994	Togher, et al.	
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		us- 09/169,767		May	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ТВ
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Examiner Signature	/Nga Nguyen/ (02/20/2008)	Date Considered		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b (07-05) 5000 Approved for use through 06/30/2006, OMB 0651-0031 APR 2 4 2006 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it contains a valid OMB control number. Reduction Act of A TRANSITION 14499 TRANSITION RAIS Complete if Known Application Number 09/655,929 Filing Date INFORMATION DISCLOSURE 9/6/2000 First Named Inventor STATEMENT BY APPLICANT Stephens Art Unit <u> 3628</u> (Use as many sheets as necessary) Examiner Name Nga B. Nguyen Sheet Attorney Docket Number

NON PATENT LITERATURE DOCUMENTS					
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		REDDY, Securities Operations, 1995, pp. 213-254, New York, U.S.A.			
		KAPNER, MARSHALL, The Swaps Handbook, 1990, pp. 3-543, New York, U.S.A.			
		BAZARAA, JARVIS, SHERALI, Linear Programming and Network Flows, 1990, pp. 563-625, U.S.A.			
		FINANCIAL ACCOUNTING STANDARDS BOARD, Statement of Financial Accounting Standards No. 133, June, 1998, pp. 5-213, Norwalk, CT, U.S.A.	************		
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Examiner	/Nga Nguyen/ (02/20/2008)	Date	
LAGITIATO	/14ga (4gayon/ (02/20/2000)	Daic	l .
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